



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/817,457

04/03/2004

Oswald Friedmann

0815 A US

20676 ALFRED J MANGELS 4729 CORNELL ROAD CINCINNATI, OH 452412433 CONFIRMATION NO. 1602
FORMALITIES LETTER
OC000000013009994

Date Mailed: 06/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

08/18/2004 BSAYASI1 00000066 501300

1081745 Commissioner for Patents

01 FC:1001

770.00 DA

P.O. Box 1450

02 FC:1051

130.00 DA

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

he Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/817,457 **TRANSMITTAL** Filing Date 04/03/2004 **FORM** First Named Inventor Oswald FRIEDMANN Art Unit 3681 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 33 0815 A US Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)											
	Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)		ENCLOSURES (Check all that apply □ Drawing(s) □ Licensing-related Papers □ Petition □ Petition to Convert to a Provisional Application □ Power of Attorney, Revocation Change of Correspondence Address □ Terminal Disclaimer □ Request for Refund □ CD, Number of CD(s) □ Remarks			After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Claim for Priority; Declaration; and Receipt Postcard					
	 ::	-	SIGNA	TURE OF APPLICANT, ATTORNEY, OR AGENT							
Signate Date I hereb sufficie	Firm or Alfred J. Mangels, Reg. No. 22,605 Individual name Signature										
Typed	or printed na	ame		Alfred J. Mangels							
Signature				lefr					Date	8/16/04	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 55 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032

(5)		U.S. Patent and Tradema	rk Office; U.S. DEPARTI	MENT OF COMMERC
Under the	Paperwork Reduction Act of 1995, no persons are required to r	espond to a collection of information	n unless it displays a val	id OMB control number
**X				

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 900.00 TOTAL AMOUNT OF PAYMENT

Complete if Known						
Application Number	10/817,457					
Filing Date	04/03/2004					
First Named Inventor	Oswald FRIEDMANN					
Examiner Name						
Art Unit	3681					
Attorney Docket No.	0815 A US					

METH	t apply)	FEE CALCULATION (continued)									
Check Credit card Money Other None					3. ADDITIONAL FEES						
Deposit Account:					Large Entity Small Entity						
Deposit	/toodant.			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account Number		501300		1051	130	2051	• • •	Surcharge - late filing fee or oath	130		
Deposit Account	Alfre	ed J. Mangels		1052	50	2052	25	Surcharge - late provisional filing fee or			
Name	L			1053	130	1053	130	cover sheet Non-English specification			
		to: (check all that apply)			2,520	1812		For filing a request for ex parte reexamination			
I= *	(s) indicated b	_	overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to			
		e(s) or any underpayment o	• •					Examiner action	-		
to the above-id	• •	elow, except for the fillng it account.	ree	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
				1251	110	2251	55	Extension for reply within first month			
FEE CALCULATION 1. BASIC FILING FEE					420	2252	210	Extension for reply within second month			
Large Entity S				1253	950	2253	475	Extension for reply within third month			
	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
1001 770	2001 385	Utility filing fee	[770]	1255	2,010	2255	1,005	Extension for reply within fifth month			
1002 340	2002 170	Design filing fee	770	1401	330	2401	165	Notice of Appeal			
1003 530	2003 265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal			
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing			
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding			
	770	1452	110	2452	55	Petition to revive - unavoidable					
					1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					1,330	2501	665	Utility issue fee (or reissue)			
Extra Claims below Fee Paid					480	2502	240	Design issue fee			
Total Claims					640	2503	320	Plant issue fee			
Claims Multiple Deper		9** = [0] × <u>86</u>] = 0	1460	130	1460	130	Petitions to the Commissioner			
				1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)			
Large Entity Fee Fee	Small Entity Fee Fee	Y Fee Description		1806	180	1806		Submission of Information Disclosure Stmt			
Code (\$)	Code (\$)			8021	40	802	1 40	Recording each patent assignment per property (times number of properties)			
1202 18 1201 86	2202 2201 4	9 Claims in excess of 203 Independent claims in		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1203 290	2203 14			1810	770	2810	385	For each additional invention to be			
1204 86	2204 4		nt claims	4		055		examined (37 CFR 1.129(b))			
4005		over original patent		1801	770	2801		Request for Continued Examination (RCE)			
1205 18	2205	9 ** Reissue claims in ex and over original pat		1802	900	1802	900	 Request for expedited examination of a design application 			
SUBTOTAL (2) (\$) -0-				Other fee (specify)							
**or number previously paid, if greater; For Reissues, see above				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130							

SUBMITTED BY		(Complete ((Complete (if applicable))		
Name (Print/Type)	Alfred J. Mangels	Registration No. (Attorney/Agent)	22,605	Telephone	513-469-0470
Signature	My			Date	8/16/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Oswald FRIEDMANN

Serial No.: 10/817,457

Filed: April 3, 2004

For: MULTIPLE CLUTCH UNIT

Group Art Unit 3681

Examiner:

LETTER TRANSMITTING FORMAL DRAWING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Attached is one (1) sheet of formal drawing to be substituted for the originally-filed drawing. The enclosed drawing corresponds with the originally-filed drawing and contains no new matter. It is being filed to provide a drawing in better form.

Respectfully submitted,

August 16, 2004

Alfred J. Mangels Reg. No. 22,605 4729 Cornell Road Cincinnati, Ohio 45241

Telephone: (513) 469-0470